# The Dimensional Reduction Approach for 2D Non-prismatic Beam Modelling: a Solution Based on Hellinger-Reissner Principle

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# Abstract

The present paper considers a non-prismatic beam i.e., a beam with a cross-section varying along the beam axis. In particular, we derive and discuss a model of a 2D linear-elastic non-prismatic beam and the corresponding finite element. To derive the beam model, we use the so-called dimensional reduction approach: from a suitable weak formulation of the 2D linear elastic problem, we introduce a variable cross-section approximation and perform a cross-section integration. The satisfaction of the boundary equilibrium on lateral surfaces is crucial in determining the model accuracy since it leads to consider correct stress-distribution and coupling terms (i.e., equation terms that allow to model the interaction between axial-stretch and bending). Therefore, we assume as a starting point the Hellinger-Reissner functional in a formulation that privileges the satisfaction of equilibrium equations and we use a cross-section approximation that exactly enforces the boundary equilibrium.

The obtained beam-model is governed by linear Ordinary Differential Equations (ODEs) with nonconstant coefficients for which an analytical solution cannot be found, in general. As a consequence, starting from the beam model, we develop the corresponding beam finite element approximation. Numerical results show that the proposed beam model and the corresponding finite element are capable to correctly predict displacement and stress distributions in non-trivial cases like tapered and arch-shaped beams.

*Keywords:* Tapered Beam Modelling, Non-prismatic Beam Modelling, Finite element, Mixed Variational Formulation

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# Nomenclature

E Young's modulus	
$H(0), H(\overline{l})$ initial and final cross-sections	${\cal D}$ fourth-order elastic tensor
H(x) beam cross-section	${oldsymbol E}_1, {oldsymbol E}_2$ engineering notation's Boolean matrices
$J_{HR}$ Hellinger-Reissner (HR) functional	${m F}$ beam-model load vector
$L^{2}(\Omega), H(\operatorname{div}, \Omega)$ 2D Sobolev spaces	$\boldsymbol{G}, \boldsymbol{H}$ ODE coefficient matrices
$L^{2}(l), H^{1}(l)$ beam-model Sobolev spaces	$H_{\sigma s}, H_{\sigma \sigma}, G_{\sigma s}$ beam-model coefficient matrices
M(x) bending moment	$K_{s\sigma}, K_{\sigma\sigma}$ FE stiffness matrices
N(x) resulting axial stress	$oldsymbol{N}_{\gamma i}$ axis shape functions
O, x, y Cartesian coordinate system	$oldsymbol{P}_s, oldsymbol{P}_\sigma $ matrices collecting displacement and stress profile functions
V(x) resulting shear	R matrix accounting for boundary equilibrium
$W, S_0, S_t$ 2D HR functional spaces	T beam-model external load vector
$\Delta$ difference of cross-section height	$\sigma$ symmetric stress tensor field
$\Omega$ beam body i.e., 2D problem domain	<b>f</b> distributed load
$\delta s, \delta \sigma$ virtual fields	$\boldsymbol{n}$ outward unit vector
$\frac{\partial}{\partial x}, \frac{\partial}{\partial y} x - \text{ and } y - \text{ partial derivatives}$	$\boldsymbol{p}_{\gamma}$ profile functions
$\gamma$ generic field	<b>s</b> displacement vector field
$\gamma^{ref}$ reference solution	t external load distribution
$\hat{\boldsymbol{\gamma}}$ axial coefficient functions	$\sigma_{\tau}, \sigma_{u}, \tau$ axial, transversal, and shear stresses
$\hat{t}_x, \hat{t}_y$ projection of external load on profile functions	$\widetilde{W}$ $\widetilde{S}$ beam-model variational formulation spaces
$\lambda$ wave length	$\widetilde{T}$ FE load vector
$\nabla \cdot (\cdot)$ divergence operator	$\tilde{\alpha}$ numerical coefficients
$\nu$ Poisson's coefficient	$q_i$ numerical coefficients e(x) accontricity
$\overline{H}(x)$ cross-section height	$e^{rel}$ relative error
$\overline{s}$ boundary displacement function	$e_{\gamma}$ relative error $h_{\gamma}(m)$ and $h_{\gamma}(m)$ by $h_{\gamma}(m)$ and $h_{\gamma}(m)$ by $h_{\gamma}(m)$
$\overline{l}$ beam length	$n_l(x), n_u(x)$ cross section lower- and upper- boundaries
$\overline{u},\overline{v}$ cross-section axial- and transversal- displacement mean-values	t beam longitudinal axis m number of profile functions
$\partial \Omega$ domain boundary	$\boldsymbol{u},\boldsymbol{v}$ horizontal and vertical displacements
$\partial \Omega_s, \partial \Omega_t$ displacement constrained and externally loaded boundaries	d t number of axis shape functions

# 1. Introduction

Non-prismatic beams are slender bodies in which the position of the cross-section barycentre, the crosssection shape, and/or the cross-section size vary along the prevalent dimension of the body. Those bodies are widely used in engineering practice since they provide effective solutions for optimisation problems. As an example, arc-shaped beams (in Figure 1(a) the Risorgimento bridge, Verona, Italy) could be optimized in order to carry the loads using the minimum amount of materials. As an other, more sophisticated example, windmill turbine blades (in Figure 1(b) the fiberglass-reinforced epoxy blades of Siemens SWT-2.3-101 wind turbines) are optimized with respect to different conflicting needs like aerodynamic efficiency, noise pollution, forces induced on the tower. The models that describe the behaviour of non-prismatic beams must be as efficient as possible in order to perform an effective design. Unfortunately, non-prismatic beam models rarely satisfy the needs of the practitioners, who must choose between refined but too expensive models –like 3D



(a) Risorgimento bridge, Verona, Italy, designed by eng. Pier Luigi Nervi (1963). The cross-section shape changes in order to maximize the resistance to the bending moment using the minimum amount of material. Image from it.wikipedia.org.



(b) Fiberglass-reinforced epoxy blades of Siemens SWT-2.3-101 wind turbines. Image from it.wikipedia.org.



Finite Element (FE) analysis– and inexpensive but too coarse models –like frame analysis that uses 1D elements with piecewise-constant cross-sections.

Consider first the tapered beams, i.e. a class of non-prismatic beams with the following properties: (i) the beam has a straight axis, (ii) the cross-section dimension varies linearly with respect to the axis coordinate, and (iii) the cross sections have at least two symmetry axes whose intersection coincides with the beam axis. Under these conditions, the positions of either cross-section barycentre (i.e., the point where a resulting axial force can be applied without inducing any bending moment) and shear-centre (i.e., the point where a resulting shear force can be applied without inducing any torsion) do not depend on the beam-axis coordinate. The tapered-beam modelling takes advantage of the tapered-beam geometry since it ensures that axial-, transverse-, and rotation- equilibrium equations are independent. As a consequence of their simplicity, tapered beams are deeply investigated and many modelling approaches have been proposed in the literature, as illustrated in the following. The simplest modelling-approach consists in modifying the coefficients of the Euler-Bernoulli (EB) or Timoshenko beam-model equations in order to take into account the variation of the cross-section area and inertia along the beam axis. Banerjee and Williams (1985, 1986) illustrate significant examples of this modelling approach, used for example in Vinod et al. (2007) as the basis of the FE analysis. Unfortunately, it is well-known that this approach introduces a modelling error proportional to the rate of cross-section size change which is non-negligible also for small rates (see Boley, 1963). Moreover, investigating the effect of the variation of cross-section size, Hodges et al. (2010) show that the model degeneration is a consequence of the violation of the boundary equilibrium on the lateral surface in the beam model formulation.

Extending the discussion to more complex geometries, new difficulties arise. In fact, considering beams without any symmetry with respect to the beam axis, the positions of barycentre and shear centre vary along the beam axis independently of the position of resulting applied loads. In this context, an axial and shear loads respectively produce variable bending- and torque- moments. On the other hand, EB and Timoshenko model axial-, transverse-, and rotation- equilibriums with independent equations, as a consequence they cannot describe such complex effects. To overcome the coupling problems, Li and Li (2002) and Kitipornchai and Trahair (1975) consider the coupling of axial-compression and shear-bending equations, and the coupling of shear-bending and torque equations, respectively, in the case of mono-symmetric cross-sections. Both

approaches introduce suitable terms in the model equations. Unfortunately, as highlighted in (Hodges et al., 2010), the evaluation of the coupling term coefficients could be non trivial, in particular for non-trivial cross-section geometries.

In this paper we consider 2D bodies, for which Hodges et al. (2008) develop an effective displacementbased tapered beam model. The authors use the variational-asymptotic method for the model derivation and they consider the slope of the lateral boundary as a model parameter. Starting from this model, Hodges et al. (2010) provide ways to recover stresses and strains, and they have shown the excellent accuracy of the model. More recently, Rajagopal et al. (2012) have used the variational-asymptotic method for the analysis of initially curved isotropic strips, whereas Rajagopal and Hodges (2014) have used the same approach to perform oblique cross-section analysis. In both papers, the proposed approaches lead to accurate and promising results, although the generalization to non-prismatic beams does not seem to be available.

With respect to prismatic 2D beams (i.e., beams with constant cross-section and straight axis), Auricchio et al. (2010) have presented a modelling-approach, based on the dimensional reduction, and a suitable FE approximation of the beam model. The dimensional reduction is a general mathematical procedure, initially proposed by Kantorovich and Krylov (1958), that exploits the domain geometry to reduce the problem dimension (in planar beam modelling from 2D Partial Differential Equations (PDEs) to a system of Ordinary Differential Equations (ODEs)).

This paper generalizes the procedure proposed by Auricchio et al. (2010) to a non-prismatic, homogeneous, linear-elastic planar beam, with the aim to overcome the modelling limitations previously highlighted. In particular, we exploit the capability of the modelling approach described in Auricchio et al. (2010) to accurately capture the cross-section stress distribution.

An brief outline of the paper is as follows.

Section 2 provides a mathematical formulation of the problem under consideration, Section 3 develops the beam model, described by means of an engineering-oriented notation, Section 4 develops the corresponding FE, Section 5 provides some numerical examples, and Section 6 considers the influence of geometry parameters on the beam FE accuracy.

#### 2. 2D-problem variational formulation

We consider a homogeneous, isotropic, and linearly elastic 2D beam  $\Omega$  with non-constant cross-section. We assume small displacements, small deformations and plane stress state. The beam longitudinal-axis l and the cross-section H(x) are given by

$$l := \{ x \in [0, \overline{l}] \}; \quad H(x) := \{ y \in [h_l(x), h_u(x)] \}$$
(1)

where  $\overline{l}$  is the beam length, while  $h_l, h_u : l \to \mathbb{R}$  are  $C^1(l)$  functions with  $h_l(x) < h_u(x) \forall x \in l$ , which represent the cross section lower and upper boundaries, respectively. Then, we define the problem domain as:

$$\Omega := l \times H(x) \tag{2}$$

As usual in beam modelling, we assume  $\overline{l} \gg \overline{H}(x) \forall x \in l$ , where  $\overline{H}(x)$  is the cross section height, defined as  $\overline{H}(x) := h_u(x) - h_l(x)$ .

Figure 2 represents the domain  $\Omega$ , the adopted Cartesian coordinate system, the lower and upper boundaries  $y = h_l(x)$  and  $y = h_u(x)$  respectively, and the initial and final cross sections H(0) and  $H(\overline{l})$  respectively. We denote the domain boundary as  $\partial \Omega := H(0) \cup H(\overline{l}) \cup h_l(x) \cup h_u(x)$ . Moreover, we introduce the partition  $\{\partial \Omega_s; \partial \Omega_t\}$ , where  $\partial \Omega_s$  and  $\partial \Omega_t$  are the parts where the displacements  $\overline{s} : \partial \Omega_s \to \mathbb{R}^2$  and the tractions  $t : \partial \Omega_t \to \mathbb{R}^2$  are imposed, respectively. Finally, we assume that the beam is subjected to a distributed load  $f : \Omega \to \mathbb{R}^2$ . In what follows, we assume that  $\overline{s}$ , t and f are sufficiently smooth.

Introducing the displacement vector field  $\boldsymbol{s}: \Omega \to \mathbb{R}^2$  and the symmetric stress tensor field  $\boldsymbol{\sigma}: \Omega \to \mathbb{R}^{2 \times 2}_s$ ,



Figure 2: 2D beam geometry, coordinate system, dimensions and adopted notations.

we define the functional spaces  $W, S_0$ , and  $S_t$  as follows:

$$W := \left\{ \boldsymbol{s} \in L^2\left(\Omega\right) \right\} \tag{3}$$

$$S_0 := \left\{ \boldsymbol{\sigma} \in H(\operatorname{div}, \Omega) : \boldsymbol{\sigma} \cdot \boldsymbol{n} |_{\partial \Omega_t} = \boldsymbol{0} \right\}$$

$$\tag{4}$$

$$S_t := \left\{ \boldsymbol{\sigma} \in H\left( \operatorname{div}, \Omega \right) : \boldsymbol{\sigma} \cdot \boldsymbol{n} \big|_{\partial \Omega_*} = \boldsymbol{t} \right\}$$
(5)

where  $\boldsymbol{n}$  is the outward unit vector and, being the divergence operator defined as  $\nabla \cdot (\cdot)$ , the Sobolev spaces  $L^2(\Omega)$  and  $H(\operatorname{div}, \Omega)$  are defined as:

$$L^{2}(\Omega) := \left\{ \boldsymbol{s} : \Omega \to \mathbb{R}^{2} : \int_{\Omega} \boldsymbol{s} \cdot \boldsymbol{s} \, d\Omega < \infty \right\}$$
$$H(\operatorname{div}, \Omega) := \left\{ \boldsymbol{\sigma} : \Omega \to \mathbb{R}^{2 \times 2}_{s} : \int_{\Omega} \boldsymbol{\sigma} : \boldsymbol{\sigma} \, d\Omega < \infty \text{ and } (\nabla \cdot \boldsymbol{\sigma}) \in L^{2}(\Omega) \right\}$$

Therefore, the 2D problem under investigation can be expressed through the following variational equation.

Find 
$$\boldsymbol{s} \in W$$
 and  $\boldsymbol{\sigma} \in S_t$  such that  $\forall \, \delta \boldsymbol{s} \in W$  and  $\forall \, \delta \boldsymbol{\sigma} \in S_0$   
 $\delta J_{H\!R} = -\int_{\Omega} \delta \boldsymbol{s} \cdot \nabla \cdot \boldsymbol{\sigma} \, d\Omega - \int_{\Omega} \nabla \cdot \delta \boldsymbol{\sigma} \cdot \boldsymbol{s} \, d\Omega - \int_{\Omega} \delta \boldsymbol{\sigma} : \boldsymbol{D}^{-1} : \boldsymbol{\sigma} \, d\Omega$   
 $-\int_{\Omega} \delta \boldsymbol{s} \cdot \boldsymbol{f} \, d\Omega + \int_{\partial \Omega_s} \delta \boldsymbol{\sigma} \cdot \boldsymbol{n} \cdot \overline{\boldsymbol{s}} \, dS = 0$ 
(6)

where D is the fourth-order, invertible, linear, and elastic tensor, depending on the Young's modulus E and the Poisson's coefficient  $\nu$ .

We recall that the solution of Equation (6) represents the saddle point of the Hellinger-Reissner (HR) functional  $J_{H\!R}$  (see Auricchio et al., 2010). We highlight also that the displacement constraint  $\boldsymbol{s}|_{\partial\Omega_s} = \boldsymbol{\bar{s}}$  is a natural condition i.e., it is weakly imposed through Equation (6), whereas the boundary equilibrium  $\boldsymbol{\sigma} \cdot \boldsymbol{n}|_{\partial\Omega_t} = \boldsymbol{t}$  is an essential condition i.e., it is strongly enforced in the trial space  $S_t$  (see Equation (5)).

In the following, as usual in beam modelling, we assume that the lower and the upper boundaries are subjected to zero tractions, i.e.  $\{h_u(x) \cup h_l(x); x \in l\} \subset \partial \Omega_t$  and  $\mathbf{t}|_{h_l \cup h_u} = \mathbf{0}$ . Nevertheless, we notice that the latter assumption is could be easily removed without spoiling the model derivation procedure.

The outward normal vectors on the lower and upper boundaries are:

$$\boldsymbol{n}|_{h_{l}}(x) = \frac{1}{\sqrt{1 + (h_{l}'(x))^{2}}} \left\{ \begin{array}{c} h_{l}'(x) \\ -1 \end{array} \right\}$$

$$\boldsymbol{n}|_{h_{u}}(x) = \frac{1}{\sqrt{1 + (h_{u}'(x))^{2}}} \left\{ \begin{array}{c} -h_{u}'(x) \\ 1 \end{array} \right\}$$
(7)

where  $(\cdot)'$  means the derivative with respect to x. The boundary equilibrium on lower and upper boundaries (i.e.,  $(\boldsymbol{\sigma} \cdot \boldsymbol{n})|_{h_l \cup h_u} = \mathbf{0}$ ) could be expressed as follows:

$$\begin{bmatrix} \sigma_x & \tau \\ \tau & \sigma_y \end{bmatrix} \begin{Bmatrix} n_x \\ n_y \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix} \quad \Rightarrow \quad \begin{cases} \sigma_x n_x + \tau n_y = 0 \\ \tau n_x + \sigma_y n_y = 0 \end{cases}$$
(8)

where we omit to write the restriction operator  $(\cdot)|_{h_l \cup h_u}$  for notation simplicity. Manipulating Equation (8), we express the shear and transversal stresses  $\tau$  and  $\sigma_y$  as function of the axial stress  $\sigma_x$ . Using definition (7), we obtain the following expressions for the boundary equilibrium:

$$\tau = -\frac{n_x}{n_y}\sigma_x = h'(x)\,\sigma_x; \qquad \sigma_y = \frac{n_x^2}{n_y^2}\sigma_x = \left(h'(x)\right)^2\sigma_x \tag{9}$$

where h(x) indicates either  $h_l(x)$  and  $h_u(x)$ . Therefore,  $\sigma_x$  could be seen as the independent variable that completely defines the stress state on the upper and the lower boundaries. Moreover, in accordance with (Hodges et al., 2008) and (Hodges et al., 2010), the slopes  $h'_l$  and  $h'_u$  are sufficient geometric quantities to define the boundary equilibrium.

## 3. Model derivation

In this section we develop the beam model using the dimensional reduction approach illustrated in Auricchio et al. (2010). However, compared to (Auricchio et al., 2010), the stress cross section approximation is now modified in order to satisfy the boundary equilibrium on the lower and the upper boundaries.

# 3.1. Profile approximation and notations

Considering a generic field  $\gamma : \Omega \to \mathbb{R}^{(\cdot)}$  involved in the beam models, we introduce its approximation defined as the linear combination of m preassigned linearly independent profile functions  $\mathbf{p}_{\gamma} : H(x) \to \mathbb{R}^{(\cdot) \times m}$ , weighted with m undefined axial coefficient functions  $\hat{\boldsymbol{\gamma}} : l \to \mathbb{R}^m$ . Then, the approximation of a given field  $\gamma(x, y)$  is defined as follows:

$$\gamma\left(x,y\right) \approx \boldsymbol{p}_{\gamma}^{T}\left(y,h_{l}\left(x\right),h_{u}\left(x\right)\right)\hat{\boldsymbol{\gamma}}\left(x\right)$$
(10)

where  $(\cdot)^T$  is the transpose operator. In what follows, we will usually drop the variables on which the functions depend, for notation simplicity. We remark that, as a consequence of the approximation definition (10), the *m* components of  $\hat{\gamma}$  are the unknowns of the beam model we will develop. In addition, we introduce the following additional hypotheses.

- 1. The stress profile functions  $p_{\sigma_x}$ ,  $p_{\tau}$ , and  $p_{\sigma_y}$  are Lagrange polynomials, uniquely defined by the number and the position of suitable interpolating nodes.
- 2. The lower and upper cross section boundaries  $h_l(x)$ ;  $h_u(x)$  are interpolating nodes for the stress profile function.
- 3. The  $\sigma_y$  and  $\tau$  profile functions vanish on the lower and upper cross section boundaries i.e.,  $\forall x \in l \quad p_{\sigma_y}|_{h_l \cup h_u} = p_{\tau}|_{h_l \cup h_u} = \mathbf{0}$ .

Due to definition (10), partial derivatives may be computed as follows:

$$\frac{\partial}{\partial x}\gamma = \frac{\partial}{\partial x}\left(\boldsymbol{p}_{\gamma}^{T}\hat{\boldsymbol{\gamma}}\right) = \left(\frac{\partial\boldsymbol{p}_{\gamma}^{T}}{\partial h_{l}}h_{l}'\hat{\boldsymbol{\gamma}} + \frac{\partial\boldsymbol{p}_{\gamma}^{T}}{\partial h_{u}}h_{u}'\hat{\boldsymbol{\gamma}}\right) + \boldsymbol{p}_{\gamma}^{T}\hat{\boldsymbol{\gamma}}' = \boldsymbol{p}_{\gamma,x}^{T}\hat{\boldsymbol{\gamma}} + \boldsymbol{p}_{\gamma}^{T}\hat{\boldsymbol{\gamma}}'; \qquad \frac{\partial}{\partial y}\gamma = \frac{\partial}{\partial y}\left(\boldsymbol{p}_{\gamma}^{T}\hat{\boldsymbol{\gamma}}\right) = \boldsymbol{p}_{\gamma,y}^{T}\hat{\boldsymbol{\gamma}}$$

where  $(\cdot)'$  indicates x derivative for  $\hat{\boldsymbol{\gamma}}$ ,  $h_l$ , and  $h_l$ , whereas we use  $(\cdot)_{,x}$  and  $(\cdot)_{,y}$  to denote x- and y- total derivatives for  $\boldsymbol{p}_{\gamma}$ , respectively. It is worth noticing that the total derivative with respect to x of  $\boldsymbol{p}_{\gamma}$  vanishes for a prismatic beam. As a consequence, in this case we recover the equations detailed in (Auricchio et al., 2010). Switching to an engineering-oriented notation and considering Equation (10), we set:

$$\boldsymbol{s} = \left\{ \begin{array}{c} u\left(x,y\right) \\ v\left(x,y\right) \end{array} \right\} \approx \left[ \begin{array}{c} \boldsymbol{p}_{u}^{T} & \boldsymbol{0} \\ \boldsymbol{0} & \boldsymbol{p}_{v}^{T} \end{array} \right] \left\{ \begin{array}{c} \hat{\boldsymbol{u}} \\ \hat{\boldsymbol{v}} \end{array} \right\} = \boldsymbol{P}_{s} \hat{\boldsymbol{s}}$$
(11)

$$\boldsymbol{\sigma} = \left\{ \begin{array}{c} \sigma_x(x,y) \\ \sigma_y(x,y) \\ \tau(x,y) \end{array} \right\} \approx \left[ \begin{array}{cc} \boldsymbol{p}_{\sigma_x}^T & \boldsymbol{0} & \boldsymbol{0} \\ \boldsymbol{p}_{\sigma_x}^T \boldsymbol{R}^2 & \boldsymbol{p}_{\sigma_y}^T & \boldsymbol{0} \\ \boldsymbol{p}_{\sigma_x}^T \boldsymbol{R} & \boldsymbol{0} & \boldsymbol{p}_{\tau}^T \end{array} \right] \left\{ \begin{array}{c} \hat{\boldsymbol{\sigma}}_x \\ \hat{\boldsymbol{\sigma}}_y \\ \hat{\boldsymbol{\tau}} \end{array} \right\} = \boldsymbol{P}_{\sigma} \hat{\boldsymbol{\sigma}}$$
(12)

where  $\boldsymbol{R}$  is a diagonal matrix whose entries are defined as follows:

$$R_{ii} := \begin{cases} 0 & \text{if } p_{\sigma_x i}|_{h_l} = p_{\sigma_x i}|_{h_u} = 0 \\ h'_l & \text{if } p_{\sigma_x i}|_{h_l} \neq 0 \\ h'_u & \text{if } p_{\sigma_x i}|_{h_u} \neq 0 \end{cases}$$
(13)

We highlight that the boundary equilibrium (9) is exactly enforced on the lower and the upper boundaries. Virtual fields are analogously defined as:

$$\delta \boldsymbol{s} = \boldsymbol{P}_s \delta \hat{\boldsymbol{s}}; \quad \delta \boldsymbol{\sigma} = \boldsymbol{P}_\sigma \delta \hat{\boldsymbol{\sigma}}$$

In accordance with the engineering notation just introduced, Table 1 defines the divergence operator and the outward unit vector scalar product. We highlight that products between partial derivatives and Boolean matrices  $E_i$ , i = 1, 2 must be intended as scalar-matrix products, whereas differential operators are applied to the stress approximations  $P_{\sigma}\hat{\sigma}$ .

Tensorial notation	Engineering notation		
$ abla \cdot \pmb{\sigma}$	$\left(rac{\partial}{\partial x} E_1 + rac{\partial}{\partial y} E_2 ight) P_\sigma \hat{\pmb{\sigma}}$		
$\sigma \cdot n$	$(n_x \boldsymbol{E}_1 + n_y \boldsymbol{E}_2) \boldsymbol{P}_{\sigma} \hat{\boldsymbol{\sigma}}$		

Table 1: Tensor and engineering equivalent notations.

The matrices  $\boldsymbol{E}_1$  and  $\boldsymbol{E}_2$  are defined as follows:

$$m{E}_1 := \left[ egin{array}{cccc} 1 & 0 & 0 \ 0 & 0 & 1 \end{array} 
ight]; \qquad m{E}_2 := \left[ egin{array}{ccccc} 0 & 0 & 1 \ 0 & 1 & 0 \end{array} 
ight]$$

Finally, the fourth order elastic tensor  $D^{-1}$  can be expressed as the square matrix:

$$\boldsymbol{D}^{-1} := \frac{1}{E} \begin{bmatrix} 1 & -\nu & 0 \\ -\nu & 1 & 0 \\ 0 & 0 & 2(1+\nu) \end{bmatrix}$$

# 3.2. Dimension reduction

In the following, we assume that  $\partial \Omega_s = H(0)$  and  $\overline{s} = 0$ . As a consequence,  $\partial \Omega_t = H(\overline{t}) \cup h_l(x) \cup h_u(x)$ . In Section 2, we assumed  $\mathbf{t}|_{h_l \cup h_u} = \mathbf{0}$ . Here, we suppose also that  $\mathbf{t}|_{H_l}$  can be exactly represented using the profiles chosen for  $\boldsymbol{\sigma} \cdot \boldsymbol{n}$  in order to exactly satisfy the boundary condition. Recalling Definition (12), and noting that  $\boldsymbol{n}|_{H_l} = (1,0)^T$ , the exact representation of  $\mathbf{t}|_{H_l}$  implies that there exist suitable vectors  $\hat{\mathbf{t}}_x$  and  $\hat{\mathbf{t}}_y$  such that:

$$\boldsymbol{t} = \left\{ \begin{array}{c} \boldsymbol{P}_{\sigma_x}^T \hat{\boldsymbol{t}}_x \\ \boldsymbol{P}_{\tau}^T \hat{\boldsymbol{t}}_y \end{array} \right\}$$
(14)

Therefore, the boundary condition  $\boldsymbol{\sigma} \cdot \boldsymbol{n}|_{H_l} = \boldsymbol{t}$  may be written as:

$$\begin{cases} \hat{\boldsymbol{\sigma}}_{x}\left(\overline{l}\right) \\ \hat{\boldsymbol{\tau}}\left(\overline{l}\right) \end{cases} = \left\{ \begin{array}{c} \hat{\boldsymbol{t}}_{x} \\ \hat{\boldsymbol{t}}_{y} \end{array} \right\}$$
(15)

Substituting Equations (11) and (12) in Equation (6) and assuming  $\overline{s} = 0$ , the variational Equation (6) becomes:

$$\delta J_{HR} = -\int_{\Omega} \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{P}_{s}^{T} \left[ \left( \frac{\partial}{\partial x} \boldsymbol{E}_{1} + \frac{\partial}{\partial y} \boldsymbol{E}_{2} \right) (\boldsymbol{P}_{\sigma} \hat{\boldsymbol{\sigma}}) \right] d\Omega$$
$$-\int_{\Omega} \left[ \left( \frac{\partial}{\partial x} \boldsymbol{E}_{1} + \frac{\partial}{\partial y} \boldsymbol{E}_{2} \right) (\boldsymbol{P}_{\sigma} \delta \hat{\boldsymbol{\sigma}}) \right]^{T} \boldsymbol{P}_{s} \hat{\boldsymbol{s}} d\Omega$$
$$-\int_{\Omega} \delta \hat{\boldsymbol{\sigma}}^{T} \boldsymbol{P}_{\sigma}^{T} \boldsymbol{D}^{-1} \boldsymbol{P}_{\sigma} \hat{\boldsymbol{\sigma}} d\Omega - \int_{\Omega} \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{P}_{s}^{T} \boldsymbol{f} d\Omega = 0$$
(16)

which can be written as:

$$\delta J_{HR} = -\int_{\Omega} \left( \delta \hat{\boldsymbol{s}}^{T} \left( \boldsymbol{P}_{s}^{T} \boldsymbol{E}_{1} \boldsymbol{P}_{\sigma} \right) \hat{\boldsymbol{\sigma}}' + \delta \hat{\boldsymbol{s}}^{T} \left( \boldsymbol{P}_{s}^{T} \boldsymbol{E}_{1} \boldsymbol{P}_{\sigma,x} \right) \hat{\boldsymbol{\sigma}} + \delta \hat{\boldsymbol{s}}^{T} \left( \boldsymbol{P}_{s}^{T} \boldsymbol{E}_{2} \boldsymbol{P}_{\sigma,y} \right) \hat{\boldsymbol{\sigma}} \right) d\Omega$$
$$-\int_{\Omega} \left( \delta \hat{\boldsymbol{\sigma}}'^{T} \left( \boldsymbol{P}_{\sigma}^{T} \boldsymbol{E}_{1}^{T} \boldsymbol{P}_{s} \right) \hat{\boldsymbol{s}} + \delta \hat{\boldsymbol{\sigma}}^{T} \left( \boldsymbol{P}_{\sigma,x}^{T} \boldsymbol{E}_{1}^{T} \boldsymbol{P}_{s} \right) \hat{\boldsymbol{s}} + \delta \hat{\boldsymbol{\sigma}}^{T} \left( \boldsymbol{P}_{\sigma,y}^{T} \boldsymbol{E}_{2}^{T} \boldsymbol{P}_{s} \right) \hat{\boldsymbol{s}} \right) d\Omega$$
$$-\int_{\Omega} \delta \hat{\boldsymbol{\sigma}}^{T} \left( \boldsymbol{P}_{\sigma}^{T} \boldsymbol{D}^{-1} \boldsymbol{P}_{\sigma} \right) \hat{\boldsymbol{\sigma}} d\Omega - \int_{\Omega} \delta \hat{\boldsymbol{s}}^{T} \left( \boldsymbol{P}_{s}^{T} \boldsymbol{f} \right) d\Omega = 0$$
$$\tag{17}$$

Recalling that only the profile functions depend on y, using Fubini-Tonelli Theorem and integrating over H(x), Equation (17) becomes:

$$\delta J_{HR} = \int_{l} \left( -\delta \hat{\boldsymbol{s}}^{T} \boldsymbol{G}_{s\sigma} \hat{\boldsymbol{\sigma}}' - \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{H}_{s\sigma} \hat{\boldsymbol{\sigma}} - \delta \hat{\boldsymbol{\sigma}}'^{T} \boldsymbol{G}_{\sigma s} \hat{\boldsymbol{s}} - \delta \hat{\boldsymbol{\sigma}}^{T} \boldsymbol{H}_{\sigma s} \hat{\boldsymbol{s}} - \delta \hat{\boldsymbol{\sigma}}^{T} \boldsymbol{H}_{\sigma \sigma} \hat{\boldsymbol{\sigma}} - \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{F} \right) dx = 0$$

$$(18)$$

where

$$\boldsymbol{H}_{\sigma s} = \boldsymbol{H}_{s\sigma}^{T} := \int_{H(x)} \left( \boldsymbol{P}_{\sigma,x}^{T} \boldsymbol{E}_{1}^{T} \boldsymbol{P}_{s} + \boldsymbol{P}_{\sigma,y}^{T} \boldsymbol{E}_{2}^{T} \boldsymbol{P}_{s} \right) \, dy; \qquad \boldsymbol{F} := \int_{H(x)} \boldsymbol{P}_{s}^{T} \boldsymbol{f} dy;$$

$$\boldsymbol{H}_{\sigma \sigma} := \int_{H(x)} \boldsymbol{P}_{\sigma}^{T} \boldsymbol{D}^{-1} \boldsymbol{P}_{\sigma} dy; \qquad \boldsymbol{G}_{\sigma s} = \boldsymbol{G}_{s\sigma}^{T} := \int_{H(x)} \boldsymbol{P}_{\sigma}^{T} \boldsymbol{E}_{1}^{T} \boldsymbol{P}_{s} dy$$

$$(19)$$

Equation (18) represents the weak formulation of the 1D beam model. We highlight that the matrices  $\boldsymbol{G}_{\sigma s}$ ,  $\boldsymbol{H}_{\sigma s}$ , and  $\boldsymbol{H}_{\sigma \sigma}$  implicitly depend on x due to the definitions of the profile function and integral domain.

To obtain the boundary value problem of the beam model, we integrate by parts the third term of Equation (18):

$$-\int_{l}\delta\hat{\boldsymbol{\sigma}}^{T}\boldsymbol{G}_{\sigma s}\hat{\boldsymbol{s}}dx = -\delta\hat{\boldsymbol{\sigma}}^{T}\boldsymbol{G}_{\sigma s}\hat{\boldsymbol{s}}\Big|_{x=0}^{x=\overline{l}} + \int_{l}\delta\hat{\boldsymbol{\sigma}}^{T}\boldsymbol{G}_{\sigma s}\hat{\boldsymbol{s}}dx + \int_{l}\delta\hat{\boldsymbol{\sigma}}^{T}\boldsymbol{G}_{\sigma s}\hat{\boldsymbol{s}}^{'}dx$$
(20)

Substituting Equation (20) in Equation (18), recalling that  $\delta \hat{\sigma} = 0$  on  $\partial \Omega_t$  (see Definition (4)), and collecting the axial coefficient functions in a vector, we obtain:

$$\int_{l} \left\{ \delta \hat{\boldsymbol{s}}; \delta \hat{\boldsymbol{\sigma}} \right\}^{T} \left( \boldsymbol{G} \left\{ \begin{array}{c} \hat{\boldsymbol{s}}' \\ \hat{\boldsymbol{\sigma}}' \end{array} \right\} + \boldsymbol{H} \left\{ \begin{array}{c} \hat{\boldsymbol{s}} \\ \hat{\boldsymbol{\sigma}} \end{array} \right\} - \left\{ \begin{array}{c} \boldsymbol{F} \\ \boldsymbol{0} \end{array} \right\} \right) dx + \delta \hat{\boldsymbol{\sigma}}^{T} \boldsymbol{G}_{\sigma s} \hat{\boldsymbol{s}} \Big|_{H(0)} = 0$$
(21)

where

$$m{G}:=\left[egin{array}{cc} m{0} & -m{G}_{s\sigma}\ +m{G}_{\sigma s} & m{0} \end{array}
ight]; \qquad m{H}:=\left[egin{array}{cc} m{0} & -m{H}_{s\sigma}\ m{G}_{\sigma s}'-m{H}_{\sigma s} & -m{H}_{\sigma \sigma} \end{array}
ight]$$

Since Equation (21) must be satisfied by every variations, we finally obtain the following ODEs, equipped with both natural and essential boundary-conditions:

$$\begin{cases} \boldsymbol{G} \left\{ \begin{array}{c} \hat{\boldsymbol{s}}' \\ \hat{\boldsymbol{\sigma}}' \end{array} \right\} + \boldsymbol{H} \left\{ \begin{array}{c} \hat{\boldsymbol{s}} \\ \hat{\boldsymbol{\sigma}} \end{array} \right\} = \left\{ \begin{array}{c} \boldsymbol{F} \\ \boldsymbol{0} \end{array} \right\} & \text{in } l \\ \\ \boldsymbol{G}_{\sigma s} \hat{\boldsymbol{s}} = \boldsymbol{0} & \text{at } x = 0 \\ \hat{\boldsymbol{\sigma}}_x = \hat{\boldsymbol{t}}_x & \text{at } x = \overline{l} \\ \hat{\boldsymbol{\tau}} = \hat{\boldsymbol{t}}_y & \text{at } x = \overline{l} \end{cases}$$
(22)

It is worth noting that  $G_{s\sigma}$  and  $H_{s\sigma}$  concern with the beam equilibrium,  $G_{\sigma s}$ ,  $G'_{\sigma s}$ , and  $H_{\sigma s}$  concern with the beam compatibility, and  $H_{\sigma\sigma}$  concerns with the beam constitutive laws.

To complete the beam model definition, we choose the profiles  $\mathbf{p}_{\gamma}$  as polynomial functions with respect to y of degree at most deg ( $\mathbf{p}_{\gamma}$ ), according to Table (2). The same polynomial degrees was adopted in (Auricchio et al., 2010) to model prismatic beams.

	$\pmb{p}_u$	$p_v$	$p_{\sigma_x}$	$\pmb{p}_{\sigma_y}$	$oldsymbol{p}_{ au}$
$\deg\left(\boldsymbol{p}_{\gamma} ight)$	1	2	1	3	2

Table 2: Highest polynomial degree with respect to y of the profile functions used in beam modelling.

It is now possible to compute the matrices G and H for a general non-prismatic beam, for instance with the aid of a symbolic calculus software like MAPLE. We notice that rank (G) = 6, whereas the model uses 10 independent unknowns. As a consequence, we infer that the beam model (22) is a differential-algebraic boundary value problem. Thus, 6 unknowns are determined as a solution of a differential problem, while the remaining 4 are algebraically determined by the former ones. Since G and H are matrices of non-constant coefficients, it is not possible to analytically find the homogeneous solution of the differential equation for a general non-prismatic beam.

Moreover, looking at the definition of the matrix  $\boldsymbol{H}_{\sigma s}$  given in Equation (18), we observe that the former term of the integral  $(\boldsymbol{P}_{\sigma,x}^T \boldsymbol{E}_1 \boldsymbol{P}_s)$  vanishes for a prismatic beam, whereas the latter  $(\boldsymbol{P}_{\sigma,y}^T \boldsymbol{E}_2 \boldsymbol{P}_s)$  never vanishes. As a consequence, we conclude that the non-prismatic beam model increases the fill-in of the matrices, i.e., introduces new terms which take into account the axial-bending coupling.

#### 3.3. Coefficient matrices for a symmetric tapered beam

In this section we present a simple beam-model example that highlights the features of the proposed approaches. In particular, we provide the analytical expression of the coefficient matrices  $G_{s\sigma}$ ,  $H_{s\sigma}$ , and  $H_{\sigma\sigma}$  for the symmetric tapered beam depicted in Figure 3. According with the notation of Figure 3, the cross-section lower and upper boundaries and their derivatives are defined by:

$$h_{l}(x) = -h_{u}(x) = -\frac{\overline{H}(0)}{2} + \frac{\overline{H}(0) - \overline{H}(\overline{l})}{2\overline{l}}x, \quad h'_{l}(x) = -h'_{u}(x) = h' = \frac{\overline{H}(0) - \overline{H}(\overline{l})}{2\overline{l}}$$
(23)

We use the following displacement profile functions:

$$\boldsymbol{p}_{u} = \left\{1; \quad y - \frac{h_{l}(x) + h_{u}(x)}{2}\right\}^{T}; \quad \boldsymbol{p}_{v} = \left\{1; \quad y - \frac{h_{l}(x) + h_{u}(x)}{2}; \quad y^{2}\right\}^{T}$$
(24)



Figure 3: Symmetric tapered beam:  $\overline{l} = 10$ mm,  $\overline{H}(0) = 1$ mm,  $\overline{H}(\overline{l}) = 0.5$ mm, Q = 1N,  $E = 10^5$ MPa, and  $\nu = 0.25$ .

Therefore, the displacement axial coefficients have specific physical interpretations, as listed in the following.

- $\hat{u}_1$  is the axial displacement mean-value.
- $\hat{u}_2$  is the cross section rotation.
- $\hat{v}_1$  is transversal displacement mean-value.
- $\hat{v}_2$  is a displacement of the cross section, associated to the change of cross-section height.
- $\hat{v}_3$  is a displacement of the cross section, associated to a non uniform deformation.

Moreover, we use the following stress profile functions:

$$\boldsymbol{p}_{\sigma_{x}} = \left\{ \frac{h_{u}\left(x\right) - y}{\overline{H}\left(x\right)}; \quad \frac{y - h_{l}\left(x\right)}{\overline{H}\left(x\right)} \right\}^{T}; \quad \boldsymbol{p}_{\tau} = \left\{ 4 \, p_{\sigma_{x1}} \, p_{\sigma_{x2}} \right\}$$
$$\boldsymbol{p}_{\sigma_{y}} = \frac{3\overline{H}\left(x\right)^{3}}{32} \left\{ \begin{array}{c} \left(y - h_{l}\left(x\right)\right) \left(h_{u}\left(x\right) - y\right) \left(y - \left(h_{l}\left(x\right) + \frac{1}{4}\overline{H}\left(x\right)\right)\right) \\ \left(y - h_{l}\left(x\right)\right) \left(y - h_{u}\left(x\right)\right) \left(y - \left(h_{l}\left(x\right) + \frac{3}{4}\overline{H}\left(x\right)\right)\right) \right) \right\} \right\}$$
(25)

Therefore, the stress axial coefficients have the physical meanings listed in the following.

- $\hat{\sigma}_{x1}$  is the value of the axial stress at the bottom of the cross section.
- $\hat{\sigma}_{x2}$  is the value of the axial stress at the top of the cross section.
- $\hat{\tau}_1$  is a quadratic bubble function that vanishes on lower and upper cross section boundaries.
- $\hat{\sigma}_{y1}$  and  $\hat{\sigma}_{y2}$  are two cubic bubble functions that vanish on lower and upper cross section boundaries.

Inserting all these assumptions in Definitions (19), we obtain the following analytical expressions for the

coefficient matrices:

$$\begin{aligned} \mathbf{G}_{s\sigma} = \overline{H}(x) \begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ -\frac{1}{3}\overline{H}(x) & \frac{1}{3}\overline{H}(x) & 0 & 0 & 0 & 0 \\ h' & -h' & 0 & 0 & \frac{4}{3} \\ -\frac{1}{3}h'\overline{H}(x) & -\frac{1}{3}h'\overline{H}(x) & 0 & 0 & 0 \\ \frac{1}{3}h'\overline{H}(x) & -\frac{1}{3}h'\overline{H}(x) & 0 & 0 & -\frac{4}{15}\overline{H}^2(x) \end{bmatrix} \\ \mathbf{H}_{s\sigma} = \begin{bmatrix} -h' & -h' & 0 & 0 & 0 \\ -\frac{1}{3}h'\overline{H}(x) & \frac{1}{3}h'\overline{H}(x) & 0 & 0 & -\frac{4}{3}\overline{H}(x) \\ -(h')^2 & (h')^2 & 0 & 0 & -\frac{4}{3}h' \\ -\frac{1}{3}(h')^2\overline{H}(x) & -\frac{1}{3}(h')^2\overline{H}(x) & -\frac{8}{9}\overline{H}(x) & -\frac{8}{9}\overline{H}(x) & 0 \\ -\frac{1}{3}(h')^2\overline{H}^2(x) & \frac{1}{3}(h')^2\overline{H}^2(x) & -\frac{32}{45}\overline{H}^2(x) & \frac{32}{45}\overline{H}^2(x) & -\frac{4}{5}h'\overline{H}^2(x) \end{bmatrix} \end{aligned}$$
(26)
$$\mathbf{H}_{\sigma\sigma} = \frac{\overline{H}(x)}{3E} \begin{bmatrix} 2\left((h')^2 + 1\right)^2 & \left((h')^2 - 1\right)^2 - 4\nu(h')^2 & \frac{4}{5}\left((h')^2 - \nu\right) & \frac{28}{15}\left((h')^2 - \nu\right) & 4h'(1+\nu) \\ \left((h')^2 - 1\right)^2 - 4\nu(h')^2 & 2\left((h')^2 + 1\right)^2 & \frac{28}{5}\left((h')^2 - \nu\right) & \frac{4}{5}\left((h')^2 - \nu\right) & -4h'(1+\nu) \\ \frac{4}{5}\left((h')^2 - \nu\right) & \frac{28}{15}\left((h')^2 - \nu\right) & \frac{704}{315} & \frac{64}{105} & 0 \\ \frac{28}{15}\left((h')^2 - \nu\right) & \frac{4}{5}\left((h')^2 - \nu\right) & \frac{4}{5}\left((h')^2 - \mu\right) \end{bmatrix} \end{bmatrix}$$

It is worth noting that:

- 1. For a prismatic beam the lower and upper boundary slopes h' vanish and the cross section height  $\overline{H}(x)$  becomes a constant parameter, recovering the single-layer beam model presented in (Auricchio et al., 2010, Section 5.2).
- 2. Looking at the  $G_{s\sigma}$  pattern, the third and the fourth columns have zero entries. As a consequence, derivatives of  $\hat{\sigma}_{y1}$  and  $\hat{\sigma}_{y2}$  never appear in beam model ODEs; furthermore, the eighth and the ninth equations do not use axial coefficient function derivatives, resulting in algebraic equations. These observations confirm that Equation (22) is a differential-algebraic boundary value problem.
- 3. Looking at the  $H_{\sigma\sigma}$  pattern, the last column and row have non-vanishing entries. As a consequence, we can conclude that, in non-prismatic beam model, the constitutive law introduces coupling between axial deformations and shear stress. These relations represent further equation couplings for which, to the best of the authors' knowledge, no reference in literature exists.

# 4. FE derivation

We now derive a FEM approximation of our beam model. We first introduce a suitable weak form, as described below.

We integrate by parts with respect to x both the third and the first terms of Equation (18), see Equations (20) and (27).

$$-\int_{l}\delta\hat{\boldsymbol{s}}^{T}\boldsymbol{G}_{s\sigma}\hat{\boldsymbol{\sigma}}'dx = -\delta\hat{\boldsymbol{s}}^{T}\boldsymbol{G}_{s\sigma}\hat{\boldsymbol{\sigma}}\Big|_{x=0}^{x=\overline{l}} + \int_{l}\delta\hat{\boldsymbol{s}}^{T}\boldsymbol{G}_{s\sigma}'\hat{\boldsymbol{\sigma}}dx + \int_{l}\delta\hat{\boldsymbol{s}}'^{T}\boldsymbol{G}_{s\sigma}\hat{\boldsymbol{\sigma}}dx$$
(27)

Substituting Equations (20) and (27) into Equation (18), we obtain the variational formulation:

Find 
$$\hat{\boldsymbol{s}} \in \widetilde{W}$$
 and  $\hat{\boldsymbol{\sigma}} \in \widetilde{S}$  such that  $\forall \delta \hat{\boldsymbol{s}} \in \widetilde{W}$  and  $\forall \delta \hat{\boldsymbol{\sigma}} \in \widetilde{S}$   
 $\delta J_{HR} = \int_{l} \left( \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{G}_{s\sigma} \hat{\boldsymbol{\sigma}} + \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{G}_{s\sigma}^{\prime} \hat{\boldsymbol{\sigma}} - \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{H}_{s\sigma} \hat{\boldsymbol{\sigma}} + \delta \hat{\boldsymbol{\sigma}}^{T} \boldsymbol{G}_{\sigma s} \hat{\boldsymbol{s}}^{\prime} + \delta \hat{\boldsymbol{\sigma}}^{T} \boldsymbol{G}_{\sigma s}^{\prime} \hat{\boldsymbol{s}} - \delta \hat{\boldsymbol{\sigma}}^{T} \boldsymbol{H}_{\sigma s} \hat{\boldsymbol{s}} \right)$ 

$$(28)$$

$$-\delta \hat{\boldsymbol{\sigma}}^{T} \boldsymbol{H}_{\sigma\sigma} \hat{\boldsymbol{\sigma}} - \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{F} \right) dx - \delta \hat{\boldsymbol{s}}^{T} \boldsymbol{T} = 0$$
where  $\widetilde{W} := \{ \hat{\boldsymbol{s}} \in H^{1}(l) : \hat{\boldsymbol{s}} |_{x=0} = \mathbf{0} \}, \quad \widetilde{S} := L^{2}(l), \text{ and } \boldsymbol{T} = \int_{H_{l}} \boldsymbol{P}_{s}^{T} \boldsymbol{t} dy; \text{ furthermore}$ 

$$L^{2}(l) := \left\{ \hat{\boldsymbol{\sigma}} : \int_{l} \hat{\boldsymbol{\sigma}}^{T} \hat{\boldsymbol{\sigma}} dx < \infty \right\}; \quad H^{1}(l) := \left\{ \hat{\boldsymbol{s}} : \hat{\boldsymbol{s}}, \hat{\boldsymbol{s}}' \in L^{2}(l) \right\}$$

We highlight that the derivatives with respect to x are applied only to displacement variables. Moreover, the definition of W leads to a formulation that essentially satisfies continuity of displacements along the beam axis whereas axial equilibrium is weakly imposed through Equation (28). Finally, the weak formulation (28) is symmetric.

We now suppose that the *i*-th axial coefficient function  $\hat{\gamma}_i$  of a given field  $\gamma$  can be approximated as a linear combination of t axis shape functions, stored in a vector  $N_{\gamma i} : l \to \mathbb{R}^t$ . The t numerical coefficients are collected in the vector  $\widetilde{\gamma}_i \in \mathbb{R}^t$ . As a consequence:

$$\hat{\boldsymbol{\gamma}} \approx \boldsymbol{N}_{\gamma}\left(x\right) \widetilde{\boldsymbol{\gamma}} \tag{29}$$

where

$$oldsymbol{N}_{\gamma} =: \left[ egin{array}{cccc} oldsymbol{N}_{\gamma 1}^T & oldsymbol{0} & \cdots & oldsymbol{0} \\ oldsymbol{0} & oldsymbol{N}_{\gamma 2}^T & \cdots & oldsymbol{0} \\ dots & dots & \ddots & dots \\ oldsymbol{0} & oldsymbol{0} & \cdots & oldsymbol{N}_{\gamma m}^T \end{array} 
ight]; \qquad \widetilde{\gamma} := \left\{ egin{array}{c} \widetilde{\gamma}_1 \\ \widetilde{\gamma}_2 \\ dots \\ d$$

The FE discretization of the beam model follows from the introduction of the axis shape function approximation (29) into the beam model weak formulation (28):

$$\delta J_{H\!R} = \int_{l} \left( \delta \widetilde{\boldsymbol{s}}^{T} \boldsymbol{N}_{s}^{T} \boldsymbol{G}_{s\sigma} \boldsymbol{N}_{\sigma} \widetilde{\boldsymbol{\sigma}} + \delta \widetilde{\boldsymbol{s}}^{T} \boldsymbol{N}_{s}^{T} \boldsymbol{G}_{s\sigma}^{T} \boldsymbol{N}_{\sigma} \widetilde{\boldsymbol{\sigma}} - \delta \widetilde{\boldsymbol{s}}^{T} \boldsymbol{N}_{s}^{T} \boldsymbol{H}_{s\sigma} \boldsymbol{N}_{\sigma} \widetilde{\boldsymbol{\sigma}} \right. \\ \left. + \delta \widetilde{\boldsymbol{\sigma}}^{T} \boldsymbol{N}_{\sigma}^{T} \boldsymbol{G}_{\sigma s} \boldsymbol{N}_{s}^{\prime} \widetilde{\boldsymbol{s}} + \delta \widetilde{\boldsymbol{\sigma}}^{T} \boldsymbol{N}_{\sigma}^{T} \boldsymbol{G}_{\sigma s}^{\prime} \boldsymbol{N}_{s} \widetilde{\boldsymbol{s}} - \delta \widetilde{\boldsymbol{\sigma}}^{T} \boldsymbol{N}_{\sigma}^{T} \boldsymbol{H}_{\sigma s} \boldsymbol{N}_{s} \widetilde{\boldsymbol{s}} \right.$$
(30)  
$$\left. - \delta \widetilde{\boldsymbol{\sigma}}^{T} \boldsymbol{N}_{\sigma}^{T} \boldsymbol{H}_{\sigma\sigma} \boldsymbol{N}_{\sigma} \widetilde{\boldsymbol{\sigma}} - \delta \widetilde{\boldsymbol{s}}^{T} \boldsymbol{N}_{s}^{T} \boldsymbol{F} \right) dx - \delta \widetilde{\boldsymbol{s}}^{T} \boldsymbol{N}_{s}^{T} \boldsymbol{T} = 0$$

Collecting unknown coefficients in a vector and requiring Equation (30) to be satisfied for all possible virtual fields we obtain:

$$\begin{bmatrix} \mathbf{0} & \mathbf{K}_{s\sigma} \\ \mathbf{K}_{\sigma s} & \mathbf{K}_{\sigma\sigma} \end{bmatrix} \left\{ \begin{array}{c} \widetilde{\mathbf{s}} \\ \widetilde{\mathbf{\sigma}} \end{array} \right\} = \left\{ \begin{array}{c} \widetilde{\mathbf{T}} \\ \mathbf{0} \end{array} \right\}$$
(31)

where the FE stiffness matrix blocks are defined as follows:

$$\begin{split} \mathbf{K}_{s\sigma} &= \mathbf{K}_{\sigma s}^{T} := \int_{l} \left( \mathbf{N}_{s}^{\prime T} \mathbf{G}_{s\sigma} \mathbf{N}_{\sigma} + \mathbf{N}_{s}^{T} \mathbf{G}_{s\sigma}^{\prime} \mathbf{N}_{\sigma} - \mathbf{N}_{s}^{T} \mathbf{H}_{s\sigma} \mathbf{N}_{\sigma} \right) dx; \\ \mathbf{K}_{\sigma\sigma} &:= -\int_{l} \mathbf{N}_{\sigma}^{T} \mathbf{H}_{\sigma\sigma} \mathbf{N}_{\sigma} dx; \quad \widetilde{\mathbf{T}} := -\int_{l} \mathbf{N}_{s}^{T} \mathbf{F} dx - \mathbf{N}_{s}^{T} \big|_{x=\overline{l}} \mathbf{T} \end{split}$$

Looking at the properties of the axis shape functions, we consider the same choices done in (Auricchio et al., 2010) and summarized in Table 3. We notice that the FE solution satisfies:

$$\widetilde{\boldsymbol{s}} = -\left(\boldsymbol{K}_{s\sigma}\boldsymbol{K}_{\sigma\sigma}^{-1}\boldsymbol{K}_{\sigma s}
ight)^{-1}\widetilde{T}; \qquad \widetilde{\boldsymbol{\sigma}} = -\boldsymbol{K}_{\sigma\sigma}^{-1}\boldsymbol{K}_{\sigma s}\widetilde{\boldsymbol{s}}$$

In particular, since stresses are x-discontinuous, they can be eliminated by static condensation at the element level, reducing the dimension of the global stiffness matrix.

	$N_u$	$N_v$	$N_{\sigma_x}$	$N_{\sigma_y}$	$m{N}_{ au}$
$\deg\left(oldsymbol{N}_{\gamma} ight)$	2	3	1	3	2
x- continuity	yes	yes	no	no	no

Table 3: Polynomial degrees with respect to x of the axis shape functions used in beam FE.

# 5. Numerical examples

In this section we discuss some numerical results obtained through the FE scheme introduced in Section 4. In particular, we consider the following two test cases.

- 1. A symmetric tapered beam that shows the beam model accuracy through the comparison of the numerical results with the analytical solutions available in literature.
- 2. An arch-shaped beam that shows the capability of the beam model to take into account also some phenomena that occur in complex geometries.

Both the examples consider the profile functions defined in Section 3.3 and are implemented in MAPLE software which allows to calculate the FE stiffness matrices using symbolic calculus. Obviously, the same results could be obtained also using numerical-calculus tools together with suitable integration rules.

We introduce the relative error, defined for a given variable  $\gamma$  as:

$$e_{\gamma}^{rel} := \frac{\left|\gamma - \gamma^{ref}\right|}{\left|\gamma^{ref}\right|} \tag{32}$$

where  $\gamma^{ref}$  is the reference solution (specified for each problem under investigation).

### 5.1. Symmetric tapered beam

We consider the symmetric tapered beam shown in Figure 3 ( $\overline{l} = 10$ mm,  $\overline{H}(0) = 1$ mm, and  $\overline{H}(\overline{l}) = 0.5$ mm) and we assume  $E = 10^5$ MPa and  $\nu = 0.25$  as material parameters. Moreover, the beam is clamped in the initial cross section  $\overline{H}(0)$  and a concentrated load Q = [0, -1] N acts in the lower limit of the final cross-section  $\overline{H}(\overline{l})$ . Finally, we use a homogeneous mesh of 20 elements along the beam axis.

Figure 4 plots the displacement axial coefficients  $\{\hat{u}_1; \hat{u}_2\}$  and  $\{\hat{v}_1; \hat{v}_2; \hat{v}_3\}$ . As expected, only the transver-



Figure 4: Horizontal (Figure 4(a)) and vertical (Figure 4(b)) displacement axial coefficient functions computed for a symmetric tapered beam under shear-bending load.

sal displacement  $\hat{v}_1$  and the cross section rotation  $\hat{u}_2$  have a significant order of magnitude. Moreover, they have an axial distribution qualitatively similar to the solution of a prismatic cantilever beam.

We define the mean-value of the transversal displacement computed on the final cross section as follows:

$$\overline{v}(10) = \int_{H(10)} v(x, y) \, dy \tag{33}$$

Table 4 reports the values of  $\overline{v}$  (10), obtained using different homogeneous meshes and the relative error  $e_v^{rel}$  defined in Equation (32). We assume as reference solution  $v^{ref}$  the solution of the 2D problem depicted in Figure 3, obtained using the ABAQUS software and a structured mesh of  $7680 \times 512$  bilinear elements. We

Beam model	$\overline{v}(10)\mathrm{mm}$	$e_v^{rel}$
Beam FE $(1 \text{ elem.})$	-0.0641858	$2.324 \cdot 10^{-2}$
Beam FE $(4 \text{ elem.})$	-0.0656567	$8.522\cdot10^{-4}$
Beam FE $(20 \text{ elem.})$	-0.0657294	$2.541 \cdot 10^{-4}$
2D solution $(v^{ref})$	-0.0657127	-

Table 4: Mean-value of the transversal-displacement evaluated on the final cross-section and obtained considering different axis meshes for a symmetric tapered beam under shear-bending load.

notice that the beam FE proposed in this paper has the capability to predict the maximum displacement with reasonable accuracy even using only 1 element. Moreover, increasing the number of elements, the FE solution converges to the reference solution, leading to satisfying errors for engineering applications.

Figure 5 depicts the stress axial coefficient functions. In particular, Figure 5(c) shows that, far from the



(a) Axial stress, axial coefficient functions  $\hat{\sigma}_{xi}$  i = 1, 2. (b) Shear stress, axial coefficient function  $\hat{\tau}_1$ .



Figure 5: Stress axial coefficient functions evaluated for a symmetric tapered beam under shear-bending load.

initial and final cross sections, the axial coefficient functions  $\hat{\sigma}_{yi} i = 1, 2$  have a negligible order of magnitude if compared to the other stress components. Moreover, close to the initial and final cross sections, the

axial coefficient functions  $\hat{\sigma}_{yi}$  significantly oscillate. The comparison of different solutions (obtained using different meshes and not reported here for brevity) highlights that the oscillations of the axial coefficient functions occur only in the elements close to the boundaries. These oscillations could be probably explained as an attempt of the beam model to take into account stress concentrations near the domain vertices.



Figure 6: Resulting internal actions evaluated for a symmetric tapered beam under shear-bending load.

Figure 6(a) depict the axis distribution of the bending moment M(x) defined as follows:

$$M(x) = \int_{H(x)} \sigma_x \cdot y \, dy \tag{34}$$

The bending moment distribution M(x) agrees with the classical beam theories: it varies linearly along the axis, vanishing in the final cross section and being equal to  $Q \cdot \overline{l} = 10$ Nmm in the initial cross section. Instead, the axial coefficient functions  $\hat{\sigma}_{x1}$  and  $\hat{\sigma}_{x2}$  (Figure 5(a)) are non-linear in order to compensate the change of the cross-section height.

Figure 6(b) plots the axis distribution of resulting shear V(x) defined as follows:

$$V(x) = \int_{H(x)} \tau \, dy \tag{35}$$

The resulting shear V(x) distribution is close to the value Q = -1N, even though some oscillations with an amplitude of about  $5 \cdot 10^{-6}$ N occur. Nevertheless, we conclude that also the resulting shear distribution agrees with the classical beam theories, whereas the axial coefficient function  $\hat{\tau}_1(x)$  (Figure 5(b)) is non linear in order to compensate the cross-section changes.

Figure 7 plots the cross section distributions of the computed axial and transversal stresses ( $\sigma_x$  and  $\sigma_y$ , respectively), compared with the corresponding quantities obtain using the solution detailed in (Timoshenko and Goodier, 1951, Section 35). The label *num* indicates the numerical solution obtained through the FE introduced in Section 4, whereas the label *ref* indicates the solution of (Timoshenko and Goodier, 1951, Section 35). Finally, to exclude boundary effects, we consider the cross section corresponding to x = 5, and denoted as H(5). We highlight the good agreement between numerical results and the reference solution.

The shear cross section distribution  $\tau$  requires some additional remarks. Figures 8(a), 8(b), and 8(c) plot the shear distributions computed on the cross sections H(2.5), H(5), and H(7.5).

In Figures 8(a) and 8(c), we observe a very good agreement between numerical and reference solutions. Concerning Figure 8(b), we notice that the reference solution has a high frequency component that the numerical solution is unable to capture. This is due to the low-order degree of the profile functions adopted in the model. However, we notice that the error is of a reasonable magnitude. Finally, Figure 8(d) depicts the absolute error in the three considered cross sections. Again, the numerical solutions display a satisfactory agreement with the reference solutions.



Figure 7: Axial (Figure 7(a)) and transversal (Figure 7(a)) stress cross-section distributions, evaluated in the cross section H(5) for a symmetric tapered beam under shear-bending load.

## 5.2. Arch shaped beam

We now consider the arch shaped beam shown in Figure 9. The lower and the upper boundaries are defined respectively as:

$$h_l(x) := -\frac{1}{50}x^2 + \frac{1}{5}x - \frac{1}{2}; \quad h_u(x) := \frac{1}{10}$$
(36)

Moreover, the beam is clamped in the initial cross section and loaded on the final cross section with a constant axial load distribution  $\mathbf{t}|_{H_l} = [1, 0, 0]^T \text{ N/mm}$ . A homogeneous mesh of 20 elements is used and we adopt the profile functions introduced in Equations (24) and (25).

Figure 10 depicts the displacement axial coefficients. We highlight that the solution shows significant transversal displacement (see  $\hat{v}_1$  in Figure 10(b)) and cross-section rotation (see  $\hat{u}_2$  in Figure 10(a)) even if only an axial load is applied. The displacement solutions indicate that the beam model and the corresponding FE take into account the coupling between axial and bending equations, a consequence of the domain lack of symmetry with respect to the x-axis.

We define the mean-value of the axial displacement computed on the final cross section as follows:

$$\overline{u}(10) = \int_{H(10)} u(x, y) \, dy \tag{37}$$

Table 5 reports the values of  $\overline{u}(10)$  and  $\overline{v}(10)$  (defined in Equation (33)), obtained using different meshes and the corresponding relative errors  $e_v^{rel}$  and  $e_u^{rel}$  defined in Equation (32). We compute the reference solution by means of the ABAQUS software, considering the full 2D problem and using a structured mesh of  $10240 \times 256$  bilinear elements. The results highlight that the proposed model is effective in the prediction of

Beam model	$\overline{v}(10)\mathrm{mm}$	$e_v^{rel}$	$\overline{u}(10)\mathrm{mm}$	$e_u^{rel}$
Beam FE $(1 \text{ elem.})$	0.016753	$9.247 \cdot 10^{-1}$	0.0009789	$9.102 \cdot 10^{-1}$
Beam FE $(4 \text{ elem.})$	0.219495	$1.322 \cdot 10^{-2}$	0.0120440	$1.052 \cdot 10^{-1}$
Beam FE $(20 \text{ elem.})$	0.222434	$8.991 \cdot 10^{-6}$	0.0108971	$4.588 \cdot 10^{-5}$
2D solution $(v^{ref}, u^{ref})$	0.222436	-	0.0108976	-

Table 5: Mean-value of the transversal and axial displacements computed on the final cross section and obtained considering different meshes for an arch shaped beam under axial load.

the displacements. However, the very rough single-element discretisation doe not yet provide a satisfactory result in this case.



 $\begin{bmatrix} 0.4 \\ 0.2 \\ 0 \\ 0 \\ -0.2 \\ -0.4 \\ -1.334 \\ -1.3336 \\ \tau \text{ [MPa]} \\ \end{bmatrix}$ 

(a) Shear  $\tau$  cross-section distribution, evaluated in the cross section H (2.5).



(c) Shear  $\tau$  cross-section distribution, evaluated in the cross section H(7.5).

(b) Shear  $\tau$  cross-section distribution, evaluated in the cross section H(5).



(d) Absolute error  $|\tau^{num} - \tau^{ref}|$  evaluated in different cross sections (H (2.5), H (5.0), and H (7.5)).

Figure 8: Cross-section shear distributions (Figures 8(a), 8(b), and 8(c)) evaluated in the cross-sections H(2.5), H(5.0), and H(7.5)) respectively for a symmetric tapered beam under shear-bending load and related absolute errors (Figure 8(d)).

Figure 11 depicts the stress axial coefficients, Figure 12(a) depicts the resulting axial stress defined in (38), and Figure 12(b) depicts the eccentricity, defined in (39):

$$N(x) = \int_{H(x)} \sigma_x \, dy \tag{38}$$

$$e\left(x\right) := \frac{M\left(x\right)}{\left|\mathbf{t}\right|} \tag{39}$$

In particular, *num* denotes the eccentricity computed considering the FE solution, whereas *ref* denotes the analytical eccentricity (it coincides with the positions of the cross section barycentre). We notice that the stress axial distributions are highly non linear, whereas the resulting axial load is constant and equal to the resulting load. Figure 12(a) highlights a small error, of the order of the  $5 \cdot 10^{-3}$ N, in the resulting axial stress. Moreover, the numerical eccentricity  $e^{num}(x)$  coincides with the analytical eccentricity  $e^{ref}(x)$ . As a consequence, we conclude that the proposed beam model has the capability to model the coupling of axial load and bending moment. Furthermore, we highlight that the coupling factors are automatically obtained from the dimensional reduction procedure illustrated in Section 3.

Figure 13 depicts the cross section distributions of the stresses  $\sigma_x$ ,  $\sigma_y$ , and  $\tau$ . The label *num* indicates the numerical solution obtained through the FE introduced in Section 4, whereas the label *ref* indicates the 2D ABAQUS solution. In order to exclude boundary effects, we consider the cross section corresponding to the axis coordinate x = 7.5 and denoted as H(7.5). We highlight the good agreement between numerical results and the reference solution.



Figure 9: Arch shaped beam:  $\overline{l} = 10$  mm,  $\Delta = 0.5$  mm,  $\overline{H}(\overline{l}) = \overline{H}(0) = 0.6$  mm, q = 1 N/mm, E = 100000 MPa, and  $\nu = 0.25$ .



Figure 10: Horizontal (Figure 10(a)) and vertical (Figure 10(b)) displacement axial coefficient functions, evaluated for an arch shaped beam under axial load.

## 6. Stiffness-matrix condition-number

In this section we provide some information about the influence of the geometry parameters on the beam FE introduced in Section 4. We here consider the condition number of the FE stiffness matrix as a possible indicator of the range of applicability of our numerical scheme.

We recall that the condition number of a given matrix A is defined as:

$$\operatorname{cond}\left(\boldsymbol{A}\right) := \|\boldsymbol{A}\| \cdot \|\boldsymbol{A}^{-1}\| \tag{40}$$

We here choose the norm:

$$\|\boldsymbol{A}\| := \max_{i=1...n} \left\{ \sum_{j=1}^{n} |a_{ij}| \right\}$$
(41)

Figure 16(a) depicts the condition number computed for a prismatic beam, versus the number of elements, for different values of beam slenderness  $\overline{l}/\overline{H}$ . In particular, we notice that the condition number gets larger as the beam becomes more slender.

Figure 16(b) depicts the condition number computed versus the number of elements for the nonsymmetric beam of Figure 14, and for different values of  $\Delta$ . Here,  $\Delta$  is defined as the difference between the initial and final cross section heights. Furthermore, the average of the cross section height is kept constant in all the considered cases, as as shown in Table 6. We notice that the condition number becomes larger as  $\Delta$  gets larger.



(a) Axial stress, axial coefficient functions  $\hat{\sigma}_{xi}$  i = 1, 2. (b) Transversal stress, axial coefficient functions  $\hat{\sigma}_{yi}$  i = 1, 2.



(c) Shear stress, axial coefficient functions  $\hat{\tau}_1$ .

Figure 11: Axial (Figure 11(a)), transversal (Figure 11(b)), and shear (Figure 11(c)) stress axial coefficient functions, evaluated for an arch shaped beam under axial load.

As a final example, we consider a beam with a wave-like shape of the lower boundary, as shown in Figure 15.

In this case, we choose the maximum slope  $h'_m := \max_{x \in l} (|h'_l|)$  as relevant geometric parameter. We notice that  $h'_m$  determines the number of waves in the lower boundary description, see Table 7. Figure 16(c) shows the condition number versus the number of elements, for different choices of  $h'_m$ . We observe that  $h'_m$  does not significantly affect the condition number, at least for the cases of practical interest.

## 7. Conclusions

In this paper we developed a planar, non-prismatic beam model based on a mixed variational approach. More precisely, we formulated the 2D elastic problem through the Hellinger-Reissner functional, with the goal to accurately describe the stress profiles. In Section 3 we applied the dimensional reduction procedure

Δ	$\overline{H}\left(0\right)$	$\overline{H}\left(\overline{l} ight)$	$h'_l$
0.0	5.50	5.50	0.00
0.1	5.55	5.45	0.01
1.0	6.00	4.00	0.10
5.0	8.00	3.00	0.50
10.0	10.50	0.50	1.00

Table 6: Non-symmetric tapered beams, parameter definitions for the considered examples.



Figure 12: Resulting axial force (Figure 12(a)) and eccentricity (Figure 12(b)), evaluated for an arch shaped beam under axial load.

wave number	$\lambda$	$h'_m$
0	-	0
1	10.00	1/8
2	5.00	$1/_{4}$
4	2.50	$1/_{2}$
8	1.25	1

Table 7: Non-prismatic beams, parameter definitions for the considered examples.

that leads to the formulation of the non-prismatic beam model. In Section 4 we developed the non-prismatic beam FE. In Section 5 we gave some numerical results that assessed the efficiency of the proposed model. Finally, in Section 6 we provided some indications about the influence of the geometry parameters on the effectiveness of the proposed beam FE.

Numerical results show that the beam model and the corresponding FE are capable to accurately approximate the analytical results available in literature. Moreover, the model takes into account the coupling of axial stress and bending moment since the coupling terms naturally arise from the modeling procedure.

Future developments of this work could include the extension to the 3D case and to multilayer, non-homogeneous beams.

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(c) Shear stress  $\tau$  cross-section distribution.

Figure 13: Axial (Figure 13(a)), transversal (Figure 13(a)), and shear (Figure 13(c)) stresses cross section distributions, computed in the cross section H(7.5) for an arch shaped beam under axial load.

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Figure 14: Non-symmetric tapered beam:  $\overline{l} = 10$  mm,  $\overline{H}(0) = \overline{H}(\overline{l}) + \Delta$ , E = 100000 MPa, and  $\nu = 0.25$ .



Figure 15: Non-prismatic beam:  $\overline{l} = 10$  mm,  $\overline{H}(0) = 1$  mm,  $\Delta = 0.46875$  mm, E = 100000 MPa, and  $\nu = 0.25$ .



(a) Condition number, evaluated for a prismatic beam with different ratio  $\overline{l}/\overline{H}.$ 



(b) Condition number, evaluated for a non-symmetric tapered beam with different taper slope.



(c) Condition number, evaluated for a non-prismatic beam with different boundary slope.

Figure 16: Stiffness matrix condition number evaluated for prismatic beams (Figure 16(a)), non-symmetric tapered beams (Figure 16(b)), and non-prismatic beams (Figure 16(c)).